Diligent

Risk Intelligence Data

Compliance Data Feed

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Compliance data feed

Overview

Diligent Risk Intelligence Data's (RID's) Regional Compliance delivers coverage of country-specific sanctions, tax compliance, environmental restrictions, and international regulatory designations that extend beyond global sanctions lists. With 770k+ records from official authorities across Latin America, FATF, and specialized international regimes, the data helps organizations support local regulatory requirements, conduct market-specific due diligence, and identify jurisdiction-specific compliance risks.

Why choose Diligent Regional Compliance

Global sanctions lists may not cover every relevant local or country-specific source. Regional and country-specific lists include local sanctions, tax violations, environmental embargoes and regulatory enforcement actions that create material compliance risks but may not appear on international lists. Financial institutions operating in Latin American markets may face regulatory requirements to screen against local authority lists. Multinational corporations are increasingly expected to conduct ESG due diligence including modern slavery, human rights, and environmental violations at the country level.

Five specialized Compliance packages

Brazil Compliance package

Coverage of Brazilian sanctions, environmental embargoes and corruption cases:

- **CEIS (Cadastro de Empresas Inidôneas e Suspensas):** Companies banned from public contracts
- **CNEP (Cadastro Nacional de Empresas Punidas):** Anti-corruption law sanctions
- **IBAMA Environmental Embargoes:** Environmental violations and embargoes
- **CEAF (Cadastro de Expulsões da Administração Federal):** Federal civil servants dismissed, removed from office, or stripped of retirement benefits.
- **CEPIM (Cadastro de Entidades Privadas sem Fins Lucrativos Impedidas):** Nonprofit entities barred from entering new agreements with the Federal Public Administration due to unresolved irregularities.
- **Municípios na Faixa de Fronteira (Municipalities in the Border Strip):** Municipalities located wholly or partly within Brazil's 150-kilometer-wide strip along its land borders.
- **Municípios na Linha de Costa (Municipalities on the Coastline):** Municipalities whose territorial boundaries include segments facing or coinciding with Brazil's coastline.

Colombia Compliance package

Coverage of Colombian sanctions and fictitious entities:

- **Fictitious Providers:** Entities used for invoice fraud and tax evasion
- **Sanctioned Accountants:** Professional regulatory sanctions
- **Firm Sanctions Report:** Administrative sanctions by the Financial Superintendence of Colombia

Mexico Compliance package

Comprehensive Mexican tax compliance screening from SAT:

- **Article 69 Taxpayers:** Cancelled and not located taxpayers, enforceable credits, condonation and additional SAT lists.
- Article 69-B Taxpayers

FATF High-risk jurisdictions

Official FATF designations of jurisdictions with AML/CFT deficiencies

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Additional global Compliance

Thematic compliance covering human rights, export control, tax transparency:

- **Modern slavery reporting:** Australian Act filings
- **Human rights allegations:** Business & human rights documentation
- High-risk third countries
- State of play of the cooperation with the EU with respect to commitments taken to implement tax good governance principles
- The EU list of non-cooperative jurisdictions for tax purposes
- Russian Unified Register of Procurement
- US Export Control Country Policies

Categories

Each record is classified with one of the following list categories:

- **Notices**: Brazil, Colombia, Mexico, and Additional Compliance Lists
- **Warning notices**: FATF and Additional Compliance Lists
- **Sanctions**: Colombia (Firm Sanctions Report and Sanctioned Accountants)

Each record also has an entity type: Individual (I), Company (C), Territory (T), Unknown (U), or Other (O). Territory records describe countries, jurisdictions, or municipalities, for example FATF jurisdictions and Brazilian border municipalities.

A person or entity listed on more than one list appears as a separate record for each list.

Package

RID's Compliance data feed delivers regular updates to compliance records across five packages. The table below shows the files in each package and a brief description of the data included within them. A full schema of data points is provided further down in this document.

File	Description	Output	Update frequency
Info4c_Compliance_Brazil_csv.zip **Info4c_Compliance_Brazil_txt.zip** **Info4c_Compliance_Brazil.xlsx** **Info4c_Compliance_Brazil_xml.zip**	Brazilian federal and environmental compliance records, including CEIS, CNEP and IBAMA environmental embargoes.	Available to download from URLs, FTP, SFTP, and Snowflake.	Monthly and quarterly
Info4c_Compliance_Colombia_csv.zip **Info4c_Compliance_Colombia_txt.zip** **Info4c_Compliance_Colombia.xlsx** **Info4c_Compliance_Colombia_xml.zip**	Colombian sanctioned firms and accountants, fictitious providers.	Available to download from URLs, FTP, SFTP, and Snowflake.	Quarterly
Info4c_Compliance_Mexico_csv.zip **Info4c_Compliance_Mexico_txt.zip** **Info4c_Compliance_Mexico.xlsx** **Info4c_Compliance_Mexico_xml.zip**	Mexican Tax Administration Service (SAT) lists, including Article 69-B taxpayers, not located taxpayers, and cancelled registrations.	Available to download from URLs, FTP, SFTP, and Snowflake.	Monthly

File	Description	Output	Update frequency
Info4c_Compliance_FATF_csv.zip **Info4c_Compliance_FATF_txt.zip** **Info4c_Compliance_FATF.xlsx** **Info4c_Compliance_FATF_xml.zip**	FATF high-risk and non-cooperative jurisdictions for AML/CFT country risk.	Available to download from URLs, FTP, SFTP, and Snowflake.	Monthly
Info4c_Compliance_Additional_csv.zip **Info4c_Compliance_Additional_txt.zip** **Info4c_Compliance_Additional.xlsx** **Info4c_Compliance_Additional_xml.zip**	Human rights allegations, modern slavery statements, EU tax and financial crime jurisdiction lists, U.S. defense trade country policies, and the Russian procurement register.	Available to download from URLs, FTP, SFTP, and Snowflake.	Quarterly

Full dataset and update frequency

Each release is a full download of the dataset and reflects the most recent validated information available at the time of publication. The full dataset is replaced with each release and overwrites the previous version. Update frequency varies by package, as shown in the table above.

Primary key

The primary key in the file can be used to uniquely identify a row of data in its respective file.

Feed Table	Primary Key
Compliance Brazil	ID
Compliance Colombia	ID
Compliance Mexico	ID
Compliance FATF	ID
Compliance Additional	ID

File parsing guidelines

The following provides information and guidelines about how Compliance files should be parsed:

- **File Format:** Comma-Separated Values (CSV), TXT, XML and XLSX.
  Note
  The following parsing rules only apply to the CSV and TXT outputs. The XLSX and XML variants are structured formats with no delimiter/quote semantics, so the guidelines don't apply to them.
- **Header:** The first row of the file contains the column headers.
- **Quote character:** Fields enclosed in double quotes (") should be treated as a single field, even if they contain commas or newline characters.
- **Escape character:** If a double quote (") appears within a quoted field, it should be escaped by preceding it with another double quote ("").

- **Multiline support:** Fields enclosed in double quotes may span multiple lines. The parser should correctly interpret these as a single field.
- **Field separator:** In the CSV and TXT files, the fields within each record are separated by a comma (,)
- **Multi-value fields:** Where a single field holds multiple values (for example multiple titles, dates of birth, or addresses), those values are separated by a semicolon (;) within that field. The semicolon is a value separator inside a field, not the field/column separator.
- **XSD schema:** XML downloads for the Colombia and Additional Compliance Lists packages include an XSD schema file (Entry.xsd).

Schema and data dictionary

Compliance

All five Compliance files (Brazil, Colombia, Mexico, FATF, and Additional) use the same structure: one row for each record on a source list. The Compliance database shares its schema with the Watchlists & Blacklists database.

Not every list publishes every field. For example, the Mexican SAT lists publish names but not dates of birth or addresses.

Column name	Description	Data format	Potential values	Nullable
ID	This field contains a number identifying a unique database (in this case the Compliance database) and a unique record within the database.	BIGINT	11-digit number	No
Relative_ID	Relative_ID links persons or entities related to each other. The ID of the key person or entity is the Relative_ID for all of the group; there is only one key person per group.	BIGINT	ID of the key person	Yes
Title	The title of a person. Multiple titles are separated by a semicolon ";"	TEXT	Dr., Mr., Ms.	Yes
First_Name	The first name and if available the middle name(s) of a person.	TEXT		Yes
Last_Name	Last name / names of the person. Where it is uncertain whether a name is a middle name, it is included in the last name.	TEXT	Full_Name is blank (empty) if First_Name and Last_Name are filled (or vice versa).	Yes
Full_Name	Full name of the person or entity, where first and last name separation is uncertain or not available.	TEXT	Full_Name is blank (empty) if First_Name and Last_Name are filled (or vice versa).	Yes
Other_Names	Alternative names, aliases, nicknames, or the same names	TEXT (32000)	FULLNAME or LASTNAME,	Yes

Column name	Description	Data format	Potential values	Nullable
	with alternative graphemes. Multiple names are separated by ";"		FIRSTNAME Doe, Joan Doe, Joanna	
Alternative_Script	Names in non-Latin scripts such as Cyrillic, Arabic, Armenian, Hebrew, or Greek will be inserted in this field. Multiple names are separated by ";"	TEXT	FULLNAME or LASTNAME, FIRSTNAME	Yes
Case	Description of the case or the reason for listing, as published by the authority. Multiple cases are separated by ";"	TEXT (32000)	"Jurisdictions under Increased Monitoring"	No
Entity_Type	The type of person or entity the record describes.	TEXT FIXED (255)	"I" (Individual), "C" (Company), "T" (Territory), "U" (Unknown), "O" (Other)	No
Date_of_Publication	The date the authority published the listing, if available. Multiple dates are separated by ";"	TEXT (255)	DD.MM.YYYY, MM.YYYY, YYYY. Approximate dates will be preceded by "ca." 01.07.2015, 06.2019, ca. 2017	Yes
No_longer_on_List	Indicates that the record is no longer on the original list, or that the source list is no longer available.	TEXT (255)	empty	Yes
DOB	A person's date of birth. Multiple dates of birth will be separated by ";"	TEXT (255)	DD.MM.YYYY, MM.YYYY, YYYY. Approximate dates will be preceded by "ca." 01.07.1950, 07.1950, 1952, ca.	Yes

Column name	Description	Data format	Potential values	Nullable
			1945	
POB	The person's place of birth. Multiple places of birth will be separated by ";"	TEXT (255)	Place, Region, Country / Region, Country / Country	Yes
Additional_Information	Additional information as published by the authority, which could be useful in identifying the person or entity.	TEXT (32000)		Yes
Country	The country of competence of the list. This is a pre-defined set of countries and territories. Special cases include: "International" "European Union"	TEXT FIXED (255)	Brazil, Colombia, Mexico, International, European Union	No
Category	The category of the list.	TEXT FIXED (255)	"Notices", "Warning Notices", "Sanctions"	No
Address	Address(es) of the person or entity. Multiple addresses are separated by ";"	TEXT (32000)		Yes
Address_Country	Country of the address. Multiple entries are separated by ";"	TEXT (32000)		Yes
Passport_Nr	Passport or other identity number. Multiple numbers are separated by ";"	TEXT (32000)		Yes
Name_of_the_List	The name of the source list.	TEXT (255)	"Not Located", "Firm Sanctions Report", "High-risk third	No

Column name	Description	Data format	Potential values	Nullable
			countries"	
Date_of_Information	The date Diligent last updated the list.	DATE	DD.MM.YYYY 14.09.2026	No
Authority	The organization that published the list.	TEXT (255)	"Tax Administration Service (SAT)", "Council of the European Union"	No

Global client support

Info4c support email - Info4cinfo@diligent.com